

IFW



PATENT
Attorney Docket No.: EXIT-00101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 2131
Ernst B. Carter et al.)	Examiner: Sandoval, Kristin D.
Serial No.: 10/648,630)	<u>TRANSMITTAL LETTER</u>
Filed: August 25, 2003)	162 N. Wolfe Road
For: ENCRYPTING OPERATING)	Sunnyvale, CA 94086
SYSTEM)	(408) 530-9700
)	Customer No. 28960

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:


An Information Disclosure Statement and Form PTO-1449, including the references cited thereon, are enclosed for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: June 4, 2007

By: 
Jonathan O. Owens
Reg No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR 1.6(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 6/4/07 by M. Freeman



PATENT
Attorney Docket No.: EXIT-00101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 2131
Ernst B. Carter et al.)	Examiner: Sandoval, Kristin D.
Serial No.: 10/648,630)	<u>INFORMATION DISCLOSURE</u>
Filed: August 25, 2003)	<u>STATEMENT</u>
For: ENCRYPTING OPERATING)	162 N. Wolfe Road
SYSTEM)	Sunnyvale, CA 94086
)	(408) 530-9700
)	Customer No.: 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- Niels Provos, "Encrypting Virtual Memory", Center for Information Technology Integration, University of Michigan, pp. 1-10;
- Theo de Raadt et al., "Cryptography in OpenBSD: An Overview", The OpenBSD Project, pp. 1-9;
- Stefan Ludwig et al., "File System Encryption with Integrated User Management", ACM SIGOPS Operating System Review Archive, Vol. 35, Issue 4, pp. 88-93, October 2001; and
- Matt Blaze, "A Cryptographic File System for Unix", A&T& Bell Laboratories, Holmdel, New Jersey, pp. 1-8.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: June 4, 2007

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 6/4/07 By: M. Freeman

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: EXIT-00101

Serial No.: 10/648,630

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: Ernst B. Carter et al.

Filing Date: August 25, 2003

Group Art Unit: 2131

U.S. PATENT DOCUMENTS

Examiner Initials		Patent Number/ Publication Number	Issue Date/ Publication Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AN							
	AO							
	AP							

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AQ	Niels Provos, "Encrypting Virtual Memory", Center for Information Technology Integration, University of Michigan, pp. 1-10.
	AR	Theo de Raadt et al., "Cryptography in OpenBSD: An Overview", The OpenBSD Project, pp. 1-9.
	AS	Stefan Ludwig et al., "File System Encryption with Integrated User Management", ACM SIGOPS Operating System Review Archive, Vol. 35, Issue 4, pp. 88-93, October 2001.
	AT	Matt Blaze, "A Cryptographic File System for Unix", A&T& Bell Laboratories, Holmdel, New Jersey, pp. 1-8.
	AU	
	AV	

Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.